



ARKX

ARK SPACE & DEFENCE INNOVATION UCITS ETF



FUND DESCRIPTION

The ARK Space & Defence Innovation UCITS ETF seeks to invest in companies driving next-generation defence capabilities and space infrastructure. These are companies that are expected to focus on and benefit from the development of new products or services, technological improvements and advancements in scientific research related to, among other things, autonomous mobility, intelligent devices, advanced battery technologies, 3D printing, reusable rockets, adaptive robotics and neural networks.

WHY INVEST IN ARKX?



Exposure to Innovation: Aims for thematic exposure to defence and space exploration, including autonomous systems, hypersonics, A.I., orbital and sub-orbital aerospace.



Growth Potential: Aims to capture long-term growth with low correlation to relative returns to traditional growth strategies and negative correlation to value strategies.



Tool for Diversification: Offers a tool for diversification due to little overlap with traditional indices or traditional defence exposures. It can be a complement to traditional value/growth strategies.



Grounded in Research: Combines top-down and bottom-up research in its portfolio management to identify innovative companies transforming the landscape of defence and creating new frontiers in space.



Cost Effective: Provides a lower cost alternative to mutual funds with true active management in an Exchange Traded Fund (ETF) that invests in rapidly moving themes.

FUND DETAILS

As of 23 October, 2025

ETF	ARK Space & Defence Innovation UCITS ETF
Type	Active Equity ETF
ISIN	IE000AON7ET1
Fund Size (AUM)	\$1.0 Million
TER	0.75%
Inception Date	23 October 2025
SFDR Classification	Article 8
Holdings	27
Base Currency	USD
Income Treatment	Accumulating
Exposure	Global Equities
Domicile	Ireland
UCITS	Yes
Portfolio Manager	Catherine D. Wood
Associate Portfolio Managers	Dan White, Nicholas Grous
Issuer	ARK Invest UCITS ICAV
Promoter	ARK Invest International Ltd
Manager	IQ EQ Fund Management (Ireland) Ltd
Investment Manager	ARK Investment Management LLC
Depository / Custodian	Northern Trust Fiduciary Services (Ireland) Ltd
ISA Eligible (UK)	Yes
SIPP Eligible (UK)	Yes
Reporting Fund Status (UK)	Yes
Equity Fund (DE)	Yes

SUSTAINABILITY PROFILE

As of 23 October, 2025

MSCI ESG Rating **Pending**

In its "bottom up" investment approach, the Investment Manager evaluates environmental, social and governance ("ESG") considerations.

The following ESG considerations are integrated into the "bottom up" approach:

- Business Involvement Screens (Controversial Weapons, Thermal Coal, Oil & Gas, Gambling, Adult Entertainment, Tobacco, Alcohol)
- Violations of International Norms and Standards
- Controversies
- Poor Governance

The Fund is also supported by the Investment Manager's Engagement and Voting programmes.

TECHNOLOGY BREAKDOWN

As of 23 October, 2025

Autonomous Mobility	37.7%
Intelligent Devices	15.9%
Next Gen Cloud	10.7%
Reusable Rockets	10.3%
Humanoid Robots	8.8%
Advanced Battery Technologies	8.0%
Neural Networks	6.3%
3D Printing	2.3%

TRADING INFORMATION

Exchange	Currency	Listing Date	SEDOL	Bloomberg Ticker	RIC
Borsa Italiana	EUR	Pending	BS4BHY7	ARKX IM	ARKX.MI
Deutsche Börse Xetra	EUR	29 October 2025	BS4BHX6	ARXX GY	ARXX.DE
London Stock Exchange	USD	04 November 2025	BS4BHZ8	ARKX LN	ARKX.L
London Stock Exchange	GBP	04 November 2025	BS4BJ07	ARCX LN	ARCX.L
SIX Swiss Exchange	CHF	Pending	BS4BJI8	ARKX SE	ARKX.S

TOP 10 HOLDINGS

Kratos Defense & Security Solutions Inc	8.5%
Rocket Lab Corp	8.4%
AeroVironment Inc	7.8%
L3Harris Technologies Inc	7.4%
Teradyne Inc	6.2%
Palantir Technologies Inc	4.9%
Archer Aviation Inc	4.7%
Trimble Inc	4.6%
Deere & Co	4.6%
Advanced Micro Devices Inc	4.5%
	61.7%

REGISTRATIONS

Austria	Italy	Sweden
Denmark	Luxembourg	United Kingdom
Finland	Netherlands	
Germany	Norway	
Ireland	Spain	

TOP 10 COUNTRIES

Total may not sum to 100% due to rounding

United States	87.3%
France	5.6%
Japan	3.7%
Switzerland	1.9%
China	1.3%
	99.8%

MARKET CAPITALISATION

Mega (\$100B+)	27.3%
Large (\$10 - \$100B)	62.3%
Medium (\$2 - \$10B)	6.4%
Small (\$300M - \$2B)	4.1%
Micro (\$50 - \$300M)	0.0%

RESEARCH TEAM

Our research process is centered on utilising first principles research on disruptive technologies to inform our stock selection. Our collaboration with industry leaders through our open source research ecosystem helps us stay on the cutting edge of innovation. Our research team consists of individuals with deep domain expertise that are dedicated to specific technology areas. Stocks are analysed through these lenses of technology to inform our investment theses. Innovation Research Team: Brett Winton (Chief Futurist), Dr. Charles Roberts (Chief Investment Strategist), and broad collaboration across the research team.

INVESTMENT TEAM

ARK Investment Management LLC is the investment manager for the ARK Innovation UCITS ETF and is responsible for the day-to-day investment management decisions. The team is highly experienced in all aspects relating to the management of a fund portfolio, including the execution of equities, foreign exchange and cash management. The firm's investment process begins with top-down research to identify truly disruptive innovation and sizing the opportunity. This is followed by meticulous bottom-up research and analysis, leading to the selection of portfolio companies. ARK emphasises sizing the opportunity, stock selection, valuation and risk management, ensuring a dynamic approach to capturing technologically-enabled growth whilst navigating market volatility.

RISK FACTORS

- All financial investments involve an element of risk. Therefore, the value of your investment and the income from it will vary and your initial investment amount cannot be guaranteed.
- The Fund is subject to the risks associated with technology-focused companies including the risk of: (1) failure of new technologies and solutions to replace existing ones or to be approved by governments/regulators or to achieve their aim; (2) the failure of individual companies to successfully capitalise on such new technologies and reach profitability; (3) competition, including rapid developments in competitive technologies (which may leave a company's products out-of-date); (4) government intervention or excessive regulation; and (5) legal attacks from competitors.
- Other risks: (1) The Fund also invests in small publicly traded companies which may be less mature and more vulnerable to adverse business or economic events and greater share price changes than larger companies, the wider technology sector or stock market. (2) The Fund invests in global equity securities and there is a risk of loss arising from exchange rate fluctuations or exchange control regulations. (3) The ICAV's depositary or other service providers may go bankrupt and fail to return money or property belonging to the Fund. (4) It may not always be possible to buy and sell the Fund's shares on a stock exchange or at prices closely reflecting the Fund's Net Asset Value. (5) There is no capital guarantee or protection on the value of the Fund and investors can lose all the capital invested in the Fund.

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The ARK Space & Defence Innovation UCITS ETF is an actively managed fund which involves significant risk and is subject to the share price volatility of technology-focused companies, the risk of failure of new technologies to replace existing ones or to be approved by governments/regulators or to achieve their aim, the failure of individual companies to successfully capitalise on new technologies and reach profitability, competition, government intervention or excessive regulation, delays to approvals or lack of financial support; and legal attacks from competitors.

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